

## UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kevin James Cherkauer et al. Docket No: BEA9-2003-0019US1  
Serial No: New Application Group Art Unit: Unassigned  
Filed: Herewith Examiner: Unassigned  
For: "SHARED SCANS UTILIZING QUERY MONITORING DURING QUERY  
EXECUTION TO IMPROVE BUFFER CACHE UTILIZATION ACROSS MULTI-  
STREAM QUERY ENVIRONMENTS"

Commissioner for Patents  
Washington, D.C. 20231

## INFORMATION DISCLOSURE STATEMENT

Applicant submits herewith the references listed on the attached form PTO-1449 of which Applicant is aware which are believed to be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), nor as an admission that the information cited is, or is considered to be, material to patentability, nor an admission that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Respectfully submitted,



RAYMOND E. ROBERTS

Reg. No: 38,597

DATE: January 22, 2004

IPLO®

Intellectual Property Law Offices  
1901 S. Bascom Avenue, Suite 660  
Campbell, CA 95008  
Telephone: (408) 558-9950  
Facsimile: (408) 558-9960

## CERTIFICATE OF MAILING (37 CFR 1.10(A))

CERTIFICATE OF MAILING BY "EXPRESS MAIL" - Rule 10: I hereby certify that this correspondence is being deposited with the U. S. Postal Service "Express Mail Post Office to Addressee" under 37 CFR 1.10 as Express Mail No. EV326958747US addressed to the Commissioner for Patents, Washington, D.C. 20231 on January 22, 2004 by Patricia Beilmann.

Date: January 22, 2004



FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY DOCKET NO.  BEA9-2003-0019US1	SERIAL NO.  Unassigned
	APPLICANT  Kevin James Cherkauer et al.	
	FILING DATE  Herewith	GROUP  Unassigned

## U. S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,761,696	6/2/1998	Giordano et al.	711	6	
	AB	5,813,005	9/22/1998	Tsuchida et al.	707	10	
	AC	5,884,299	3/16/1999	Ramesh et al.	707	2	
	AD	US2001/0011268a1	8/2/2001	Tsuchida et al.	707	2	
	AE	US2002/0169658A1	11/14/2002	Adler	705	10	
	AF	US2002/0198872A1	12/26/2002	McNicol et al.	707	3	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	CLASS	SUBCLASS	TRANSLATION YES NO
	AG	JP2205970A2	8/14/1990	Japan	Barkovski et al.			
	AH							

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AI		Parle '93, Parallel Architectures and Languages Europe, 5 <sup>th</sup> International Parle Conference, Munich, Germany, June 14-17, 1993 Proceedings
	AJ		13 <sup>th</sup> International Conference on Data Engineering, April 7-11, 1997, University of Birmingham, Sponsored by IEEE Computer Society, entitled: Teaching an OLTP Database Kernel Advanced Datawarehousing Techniques by Clark D. French
	AK		Euro-Par '95, Parallel Processing, August 29-31, 1995, entitled: Analysis of Parallel Scan Processing in shared Disk Database Systems by Erhard Rahm and Thomas Stohr
	AL		Inspec: Sarker, S. et al., French, CD., Sundaresan, P.; Xuemin, L. et al.
	AM		Experiences Building the Open OODB Query Optimizer by Blakeley et al., 1993
	AN		Architectural Support for Scalable Speculative Parallelization in Shared-Memory Multiprocessors by M. Cintra et al.; 2000

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	